

**Uganda/ Ministry of Lands, Housing and Urban Development**

**TF Grant No. TFoB1264**

**ENVIRONMENTAL and SOCIAL  
COMMITMENT PLAN (ESCP)**

**November 2021**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Ministry of Lands, Housing and Urban Development (MLHUD), through its Department of Physical Development and Planning (PDP), is planning to implement the “Readying Uganda for actualization of shared infrastructure corridors and physical development plans operationalization” (the **Project**). This TA will support : i) defining the criteria for establishing the national shared infrastructure corridors, (ii) preparing guidelines for implementing the national shared infrastructure corridors, (iii) updating or preparing accurate detailed plans of selected neighborhoods from participating Urban Councils, (iv) identifying, mobilizing and engaging land owners and other key stakeholders in project activities, and (v) identifying and marking road reserves and other areas earmarked for other public facilities with support of the stakeholders. The International Bank for Reconstruction and Development/International Development Association (the “Bank”), acting as administrator of grant funds under the Partnership for Support to the Implementation of Uganda’s National Development Plan II Multi-Donor Trust Fund, has agreed to provide financing for the Project.
2. MLHUD, through PDP, will implement material measures and actions so that the Project is implemented in accordance with the World Bank Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out a summary of the material measures and actions.
3. MULHUD through PDP will also comply with the provisions of any other E&S documents required under the ESF and referred to in this ESCP, such as A Strategic Environmental and Social Assessment (SESA), and Stakeholder Engagement Plans (SEP), and the timelines specified in those E&S documents.
4. MLHUD through PDP is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1. above.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by MLHUD through PDP as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed by the Bank and MLHUD, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, MLHUD, through PDP, will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and the MLHUD. The MLHUD, through PDP will promptly disclose the updated ESCP. Depending on the project, the ESCP may also specify the funding necessary for completion of a measure or action.

7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, MLHUD shall agree on the best way feasible to solicit financing needed to implement actions and measures to address such risks and impacts. With the COVID 19 context, there may be social economic risks in project activities for instance risks of inadequate or insufficient outreach and engagement of selected groups of target beneficiaries. Absentee landlords during consultations/ awareness may lead to unnecessary appeals which are costly and time consuming.

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>MONITORING AND REPORTING</b>		
<p><b>A</b> <b>REGULAR REPORTING</b></p> <p>Prepare and submit to the [Bank/Association] regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including preparation and implementation of E&amp;S documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s).</p>	<p>Project Reports will be submitted to the Bank under Article II 2.05 of the Agreement.</p>	<p>PPD</p>
<p><b>B</b> <b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the [Bank/Association] of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, project workers and the affected communities. Provide sufficient detail regarding the incident or accident through the Environment and Social Incident Reporting Tool (ESIRT) and prepare a report on the incident or accident and propose any measures to prevent its recurrence.</p>	<p>Notify the Bank not later than a week after learning of the incident or accident.</p>	<p>PPD</p>
<p><b>C</b> <b>CONSULTANTS MONTHLY REPORTS</b></p> <p>Consultants will prepare progress reports and share them with MLHUD and World Bank monthly in line with the TORs and SEP.</p>	<p><i>Monthly reports will be shared per activity conducted according to the TORs and SEP</i></p>	<p>MLHUD/PPD</p>
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>		

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>The Project Coordinator will be responsible for the implementation of the ESCP, as specified in its terms of reference.</p>	Throughout Project implementation.	PPD
1.2	<p><b>ENVIRONMENTAL AND SOCIAL ASSESSMENT</b></p> <p>MLHUD will through the consultants undertake A Strategic Environment and Social Assessment (SESA) to examine the Environmental and social risks and impacts associated with the project activities.</p> <p>Environment and social screening for the physical demarcation of roads reserves and spaces earmarked for public facilities, using environment and social screening forms.</p> <p>The outcomes of the screening exercise will enable MLHUD to make appropriate recommendations for implementation of planned project activities and prepare site-specific ESMPs for mitigation of the E&amp;S risks identified and, at a later stage will further guide the specific Urban councils during downstream infrastructure development.</p>	<p>Throughout Project implementation.</p> <p>Prior to surveying and pegging and demarcation</p>	<p>PPD and Consultants</p> <p>MLHUD</p> <p>MLHUD/screening team</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.3	<p><b>MANAGEMENT TOOLS AND INSTRUMENTS</b></p> <p>1. A Strategic Environment and Social Assessment (SESA) to examine the Environmental and social risks and impacts associated with the project activities</p> <p>2. Stakeholder Engagement Plans, have been prepared for the Project, to be implemented through the Environmental and Social Commitment Plan (ESCP), as required, in a manner acceptable to the Bank.</p>	Throughout the Project Implementation	PPD
1.4	<p><b>MANAGEMENT OF CONSULTANTS</b></p> <p>MLHUD will hire consultants to undertake studies on guidelines and criteria; define the alignment and map the shared infrastructure corridor under component 1; and for preparation of neighborhood Local Physical Development Plans and demarcation of selected infrastructure.</p>	Throughout the Project Implementation	MHLUD/Contractor
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>2.1 <b>LABOR MANAGEMENT PROCEDURES</b></p> <p>The consultants’ staff will be managed in accordance with the Labor management laws of Uganda. Consultants providing technical assistance will be required to prepare a labour management procedures (LMP) to meet the ESS2 requirement. The plan(s) shall detail out the management of labor and working conditions for the technical workers on the consultant’s team and casual/community workers</p> <p>The Project shall be carried out in accordance with the applicable requirements of ESS2, implementing adequate occupational health and safety measures (including emergency preparedness and response measures, COVID 19 response and management plans).</p> <p><b>Training:</b> The consultant’s staff will be trained to heighten awareness of risks and to mitigate impacts including COVID 19 pandemic on local communities.</p> <p><b>Remuneration of Project Team:</b> The Project Team is comprised of Public Servants who will be remunerated in accordance to the Government guidelines and Procedures to allowances</p>	<p>At the onset of the project activities and throughout the project implementation</p>	<p>PPD and contractor</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.2	A worker’s grievance mechanism will be provided for under the Contractors Labor management Plan(C-LMP)operational as soon as the consultant signs the contract and maintained throughout Project implementation.	Prior to commencement of project activities	PPD
2.3	<p><b>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</b></p> <p>1.MLHUD shall develop and implement throughout the project life cycle measures and action to access and manage specific risks and impacts following adequate OHS COVID 19 MoH guidelines to project workers.</p> <p>2.Ensure compliance with EHS specifications of contracts</p> <p>3.MLHUD shall develop a COVID 19 response and management plan.</p>	<i>Throughout the project cycle</i>	MLHUD
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	<b>E-WASTE MANAGEMENT PLAN:</b> There are no actions under the Project related to E-Waste Management Plan since there are no physical products and therefore there are no mitigation measures to be undertaken under ESS3”	N/A	
3.2	<b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT:</b> There are no actions under the Project related to E-Waste Management Plan since there are no physical products and therefore there are no mitigation measures to be undertaken under ESS3	N/A	



<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	<b>TRAFFIC AND ROAD SAFETY:</b> There are no physical actions under the Project related to community Health and Safety since most of the activities are of analytical and advisory nature. No heavy equipment is envisaged. No potential traffic is envisaged due to project activities. For the corridor, the mapping will be done on paper and possible aerial observations, which cannot affect community health and safety. The demarcations according to the physical development plans will range from concrete pillars/beacons to Natural Plants such as Sisal locally used for boundary marking. Therefore, there are no mitigation measures to be undertaken under ESS4	N/A	
4.2	<b>COMMUNITY HEALTH AND SAFETY:</b> : There are no actions under the Project related to community Health and Safety since there is no envisaged impact on human health or potential pollution	N/A	
4.3	<b>GBV AND SEA RISKS:</b> All project workers and consultants interacting with the communities shall be required to sign ethical codes of conduct.	<i>At project initiation</i>	MLHUD and LGs
4.4	<b>SECURITY PERSONNEL:</b> The project will not use security personnel	N/A	MLHUD and LGs

**ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT**

5.1	<p><b>RESETTLEMENT PLANS:</b> No Physical and economic displacement is envisaged during demarcation of public reserves or for the corridor</p> <p>The project will carryout robust stakeholder engagement to address any fears of loss of land and economic displacement during this phase of the project and secure consent from property owners prior to demarkation</p>	<p>At the beginning of the project, and updates done throughout the project.</p>	<p>PPD MLHUD and LGs</p>
5.2	<p><b>GRIEVANCE MECHANISM</b></p> <p>Community grievance redress mechanisms will be established with a at various levels; including MLHUD, Consultant(s), MLG and at community/neighborhood level. Where need arises, the GRCs will collaborate with Urban Physical Planning Committees to resolve grievances</p>	<p>At the onset of project activities and throughout the project life cycle</p>	<p>PPD MLHUD, Consultant(s) and LGs</p>
<p><b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b></p>			
6.1	<p><b>BIODIVERSITY RISKS AND IMPACTS:</b> [Where biodiversity-related risks and impacts cannot be comprehensively covered as part of the ESMP]</p> <p>The infrastructure corridors may fall within ecosystems such as wetlands, forests or wildlife areas, and thus need for the demarcation criteria and guidelines to take such aspects into consideration in line with ESS6 therefore there are mitigation measures to be undertaken under ESS6</p> <p>During physical marking of road reserves, no permanent markers shall be planted in wetlands to avoid likely physical impacts and in compliance with Uganda’s Wetland Regulations.</p>	<p>During the development/mapping of the infrastructure corridor</p> <p>During the demarcation of road reserves and areas for public facilities.</p>	<p>PPD</p> <p>MHLUD/PPD</p>
<p><b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b> [See examples of possible actions below, if determined that ESS7 is relevant].</p>			

7.1	<p><b>VULNERABLE AND MARGINALISED GROUPS PLAN:</b> The project area Districts do not host vulnerable and marginalized groups</p>	N/A	PPD
<p><b>ESS 8: CULTURAL HERITAGE</b> [the relevance of ESS6 is established during the ESA process. As with other ESSs, ESS6 may require the adoption of specific measures that may be set out in an E&amp;S document (e.g. ESMP) already mentioned in the section under ESS1 above or as a stand-alone document or a separate action. Indicate whether ESS8-related measures are covered under an existing document or as stand-alone actions. See <a href="#">examples</a> below].</p>			
8.1	<p><b>CHANCE FINDS</b>  The physical demarcation of road reserves and areas for public facilities will also involve limited excavation and movement of earth, whose potential impact (likely minimal) on known and unknown physical and culturally significant sites cannot be determined at this time. Chance finds procedure shall therefore be included in the site-specific ESMPs</p>	During the demarcation of road reserves and other areas for public facilities	MHLUD/PPD
<p><b>ESS 9: FINANCIAL INTERMEDIARIES</b> [This standard is only relevant for Projects involving Financial Intermediaries (FIs). See below a couple of examples of actions that should be considered when FIs are involved.]</p>			
9.1	<p><b>ESMS:</b> There are no actions under the Project related to Financial Intermediaries, therefore there are no mitigation measures to be undertaken under ESS9”</p>	N/A	
9.2	<p><b>FI ORGANIZATIONAL CAPACITY:</b> There are no actions under the Project related to Financial Intermediaries, therefore there are no mitigation measures to be undertaken under ESS9”</p>	N/A	

9.3	<b>SENIOR MANAGEMENT REPRESENTATIVE:</b> There are no FI issues under the Project related to Financial Intermediaries, therefore there are no need to assign any one responsibility to monitor the FIs under ESS9”	N/A	
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<b>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</b>  A SEP has been prepared and will be utilized to ensure meaningful and effective participation of all stakeholders .	At the early start of the project, and updated through the project life cycle.	PPD
10.2	<b>PROJECT GRIEVANCE MECHANISM:</b> Accessible grievance arrangements in consultation with the communities shall be made publicly available to receive and facilitate resolution of concerns and grievances in relation to the Project, consistent with ESS10, in a manner acceptable to the Association.	Throughout the Project Implementation	PPD
<b>CAPACITY SUPPORT (TRAINING)</b>			
CS1	Training to be given includes training the project team on: <ul style="list-style-type: none"> <li>• Stakeholder mapping and engagement</li> <li>• Grievance handling</li> <li>• E&amp;S risk management measures in the Physical planning process</li> <li>• Cultural heritage management plan</li> </ul>	Training expected to take place on before the start of the project.	PPD and World Bank

<p>CS2</p>	<p>The district task force will be engaged to train on COVID 19 prevention measures. The health, environment and community development officers at the LGs will be engaged to train on occupational health and safety including on emergency prevention and preparedness and response arrangements to emergency situations.</p>	<p>Throughout the project cycle</p>	<p>MLHUD and LGs</p>
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